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राजस्थान सरकार
तकनीकी शिक्षा विभाग

क्रमांक : 23(2)त.शि./2011-II

जयपुर, दिनांक : 11 जुलाई, 2016

निदेशक,
तकनीकी शिक्षा,
प्राविधिक शिक्षा निदेशालय,
रेजीडेन्सी रोड,
जोधपुर।

विषय :- अभियांत्रिकी महाविद्यालयों एवं पॉलिटेक्निक महाविद्यालयों हेतु अनापत्ति प्रमाण-पत्र जारी करने हेतु नीति बाबत।

महोदय,

उपर्युक्त विषयान्तर्गत निर्देशानुसार राज्य सरकार द्वारा अभियांत्रिकी महाविद्यालयों एवं पॉलिटेक्निक महाविद्यालयों हेतु अनापत्ति प्रमाण-पत्र जारी करने के संबंध में तैयार अनुमोदित नीति उक्त पत्र के साथ संलग्न कर भिजवाई जा रही है।

कृपया संलग्न अनुमोदित नीति का वेबसाइट पर अपलोड कर राज्य सरकार द्वारा अनापत्ति प्रमाण-पत्र जारी किये जाने के संबंध में अग्रिम कार्यवाही करवाया जाना सुनिश्चित करें।

संलग्न :- उपरोक्तानुसार।

भवदीय,

संयुक्त सचिव, त.शि.

12-7-16

A- Policy for No Objection Certificate (NOC) / views of State Government for Technical Institutions in Rajasthan.

1. Short Title and Commencement:

- (i) This Policy may be called the Policy for No Objection Certificate (NOC) views of State Government for Technical Institutions in Rajasthan. Hereinafter referred as "NOC Policy".
- (ii) This Policy shall come into force on the date of its notification.

2. Applicability: This Policy shall be applicable to all categories of Technical Institutions of Rajasthan seeking NOC from State Government under statutory provisions made by AICTE/UGC.

3. Objectives: Main objectives of the NOC policy are :

- (i) To promote pursuit of technical education by establishing quality technical institutions in Rajasthan and to provide ample job opportunities to youth.
- (ii) Planning and coordinated development of technical education eco system in the state.

4. Definitions :

In this policy unless the context otherwise requires:

- (i) **State: means,** Government of Rajasthan
- (ii) **AICTE:** All India Council for Technical Education.
- (iii) **UGC:** University Grant Commission.
- (iv) **NOC:** No objection certificate / views of state Government for matters related to Technical Education in the state.
- (v) **Department:** means; Department of Technical Education, Rajasthan
- (vi) **Director, Technical Education (TE):** Director of Technical Education, Rajasthan.
- (vii) **Directorate of Technical Education (DTE):** Directorate of technical education, Jodhpur.
- (viii) **RTU:** Rajasthan Technical University, Kota
- (ix) **BTER:** Board of Technical Education, Rajasthan.
- (x) **Approval hand book of AICTE (Current year):** A hand book of Regulations issued by AICTE for the year for which the NOC is requested.
- (xi) **Approval hand book of AICTE (Preceding year):** A hand book of Regulations issued by AICTE in the preceding year to the current year for which NOC is requested.

5. Type of NOCs :

Following type of NOCs for technical institutions may be issued by state Government.

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Type of NOC	Reason for NOC
A1	Setting up new Technical Institution offering Technical Program at Degree / Post Graduate Degree and / or Diploma and Post Diploma Level
A2	<ol style="list-style-type: none"> 1. Change of Site / Location 2. Closure of Institute 3. Conversion of Women's Institution into Co-Ed Institution
A3	<ol style="list-style-type: none"> 1. Extension of approval to existing Technical Institution or Technical Campus 2. Increase in intake in existing courses only for valid NBA accredited courses. 3. Adding new course/s in existing program only for valid NBA accredited courses. 4. Reduction in intake 5. Closure of program and / or course 6. Mandatory provision of supernumerary seats for TFW 7. Introducing / continuing / discontinuing supernumerary seats for PIO 8. Introducing / continuing / discontinuing seats for sons / daughters of NRIs 9. Change of name of the Institute 10. Second Shift Programs only for valid NBA accredited courses 11. Part Time Programs only for valid NBA accredited courses 12. Adding Dual Degree courses 13. Adding Integrated course 14. Fellowship Programme in Management
O4	Establishing Off campus Institute.
F5	Foreign Collaboration.

6. Processing fees

State Government will charge processing fees for NOC s as follows:

Type of NOC	Processing Fees
A1, A2, A3	<ul style="list-style-type: none"> 20 % of the processing fees charged by AICTE (As per the approval Hand book for preceding year) Rs 50,000 for "Permission to proceed" in case of new establishments.
O4	Rs 50,000 for "Permission to proceed" and Rs 5 Lacs for NOC.
F4	Rs 1 Lacs.

7. Submission of application for NOC.

Institutes/ societies seeking NOC for technical institutions shall follow the following procedure:

Sr.No.	Activity	Remarks
1	A duly signed application form will be submitted online by Institute/ Society to Director, TE.	Application form will be made available at website/web portal by DTE as per Annexure-1 .
2	Institute/Society shall submit (online) a unsigned format-1(a), 1(b),...etc after marking "Fulfilled", "Unfulfilled" and "NA" (Not applicable) against various clauses in the format.	Format-1 (a), (b)...etc will be made available at website/web portal by DTE as per the provisions in this policy
3	Institute/Society shall submit (online) the unsigned format-2 (a), 2(b)...etc after marking "Fulfilled", "Unfulfilled" and "NA" (Not applicable) against various clauses in the format.	Format-2 (a),(b)...etc will be made available at website/web portal by DTE as per the provisions in this policy
4	For old institutions – supporting enclosures /attachments with formats 1 (a), 1(b)...and 2(a), 2(b)....shall not be submitted with online application	Duly signed enclosures/attachments shall be submitted at the time of inspection.
5	For old institutions -Duly signed application form along with crossed bank draft/online payment receipt must be sent to DTE.	-----
6	In case NOC is sought for establishment of new institution supporting enclosures/attachments along with Detailed project report (DPR) as per AICTE/UGC norms shall be submitted with duly signed application form. All such documents with crossed bank draft/online payment receipt must be submitted to Director, TE.	-----

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7	Any other information / documents (duly signed) requested from Director, TE will be submitted online	-----
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8. Physical Inspection of Technical Institutions (First stage of issuing NOC)

The Director, TE will propose a four member inspection committee for the preliminary checking of the minimum eligibility criteria as laid down by the AICTE/UGC and state government for Technical Institutions for issuing NOC. The proposed committee shall be approved by Hon'ble Minister, Technical Education.

Inspection committee will inspect the institute physically as per the schedule prescribed by Director, TE and submit its report accordingly. Affiliating University will also schedule its inspection of the institutes/society for affiliation purpose on similar dates as prescribed by Director, TE for State Government NOC. Following are the norms for physical inspection.

Type of NOC	Norms for physical inspection
A1	<ol style="list-style-type: none"> 1 Institute/Society shall provide duly signed format-1(a), 1(b)...etc with enclosures/attachments to the inspection committee along with the application form. [format-1(a), 1(b)...etc for minimum eligibility criteria as laid down by the AICTE in the approval hand book (preceding year)] 2 Institute/Society shall provide the duly signed copy of the online report submitted to AICTE for NOC for preceding year (If applicable). 3 Institute/Society shall provide the duly signed format-2(a), 2(b)...etc with enclosures/attachments to the inspection committee. [format-2(a), 2(b)...etc for eligibility criteria as laid down by the State Government] 4 Inspection committee shall inspect all the norms as per the formats mentioned in point 1 and 2 and as above. 5 Inspection committee shall also be able to inspect any other relevant norms related to prevalent AICTE/UGC and State Government Notifications/Circulars/Orders. 6 Any other norms as prescribed by State Government at the time of Inspection.
A2	Similar to A1
A3	Similar to A1
O4	<ol style="list-style-type: none"> 1. Society/Institute shall provide duly signed format-1(a), 1(b)...etc with enclosures/attachments to the inspection committee along with the application form. [Format-1(a), 1(b)...etc for minimum eligibility criteria as laid down by the UGC/AICTE. 2. Society/Institute shall provide duly signed format-2(a), 2(b)...etc with enclosures/attachments to the inspection committee along with the application form. Format-2(a), 2(b)...etc for minimum eligibility criteria as laid down by the State Government.

	<p>3. Inspection committee shall inspect all the norms as per the formats mentioned in point 1 and 2 as above.</p> <p>4. Inspection committee shall also be able to inspect any other relevant norms related to prevalent AICTE/UGC and State Government Notifications/Circulars/Orders.</p> <p>5. Any other norms as prescribed by State Government.</p>
F5	Similar to O4

9. Compilation of preliminary report by the Inspection committee (First stage of issuing NOC)

Based on the physical inspection of the Institute/Society, the inspection committee will submit its inspection report to Director, TE as per the calendar of events prescribed in this policy.

10. Appeal for Reconsideration of the proposal (first stage of issuing NOC)

Director, TE will communicate deficiencies to the institute reported by the inspection committee. Institute/society will be given time to rectify the deficiencies as per event of calendars, Based on deficiencies the institution can make an appeal to Director, TE with documentary evidence or compliance report to substantiate the claims made.

11. Inspection for Deficiencies (Second stage of issuing NOC)

In case of deficiencies, Institute will be re-inspected by the chairman of the inspection committee along with one extra member nominated by Director, TE. The Chairman of the inspection committee will submit its final report to Director, TE after inspecting the institute.

12. Submitting final report of inspection committee before the state Government (third stage of issue NOC)

Director T.E. will submit the final report of the inspection committee along with comments of after obtaining the final report from the inspection committee.

13. Issuance of NOC by the State Government (Fourth stage of issuing NOC).

- (i) The institute/Society will submit a copy of the documents/enclosures uploaded to the AICTE/UGC web portal regarding NOC/view of State Government for the current year to Director, TE within the prescribed time as per AICTE/UGC web portal.
- (ii) Director, TE will compare the documents/enclosures of the current year to that uploaded in the preceding year to AICTE web portal for the purpose of NOC. After comparing these documents, Director TE, will record those clauses for NOC which are different in nature in two documents.
- (iii) Director, TE may also record such clauses for NOC which are different in nature in the approval hand books of preceding and current year.
- (iv) Director, TE will forward the case of NOC to Joint Secretary to the Government, Technical Education, as per **clause 13(i)** along with record as,

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per **clause** 13(ii) and 13(iii). Following norms will be followed by Joint Secretary to the Government, Technical Education to forward the case of NOC of the institute/society to AICTE/UGC.

- a) In case, no differences are reported by Director, TE as per **clause** 13(ii) and 13 (iii), the recommendation for NOC to institute will be given after due consideration of the recommendations by state Govt.
- b) In case, differences are reported by Director, TE as per **clause** 13(ii) and 13 (iii), the recommendation for granting NOC will be given after due consideration of the recommendations by state govt. for issuing NOC and a temporary NOC (TNOC) for one academic session will be issued subject to the physical verification of the clauses reported by Director, TE as per **clause** 13(ii) and 13(iii) respectively.
- (v) Joint Secretary to Government, Technical Education will obtain necessary approvals from Minister; Technical Education for all such cases where NOC is requested for new as well has old institutions /societies.
- (vi) In case of establishing new institutions including off campus institute, a certificate of "Permission to proceed" will be issued as per the guidelines attached at **Aneexure-2**. However, to take feedback of the society/institute, state govt. may constitute an inspection committee as per **clause 8** of this policy.
- (vii) If "Permission to proceed" certificate is not granted to the Society/Institute, an appeal against this order may be made to Minister, Technical education within 15 days from issuance of this order. Minister, Technical Education may give one more opportunity to the society to put forward its case for NOC.
- (viii) In case of NOC for foreign collaborations, application will be processed as per the guidelines attached at **Aneexure-3**.

14. Calendar of events

- (i) Following calendar of events will be followed for issuing certificate "Permission to Proceed" for establishing new institutions in the state (Type A1, O4).

Sr. No.	Event	Time Line
1	Submission of application form with DD / online receipt to Director, TE.	Any time. (but, 90 days in advance to the opening of AICTE web portal , if applicable)
2	Presentation of the Society/Institute/ Trust before State Government.	Within 30 days from receipt of processing fee.
3	Deceleration of result (Permission to proceed or No permission to proceed)	Within 7 days from Presentation.

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4	Appeal for reconsideration.	Within 10 days from deceleration of result.
5	Final result	Within 15 days from appeal.

(ii) Following calendar of events will be followed for issuing NOC (Type A1, A2, A3, O4 and F5) by State Government.

Sr. No.	Event	Time Line
1	Invitation of application for NOC	1 st July
2	Last date of submission of application form with DD / online receipt.	31 st July
3	Physical Inspection of institutes	16 th Aug. – 30 th Sept.
4	Submission of the Inspection report	1 st Oct. – 10 th Oct.
5	Communication of deficiencies to institute	11 th Oct. – 30 th Oct.
6	Rectification of deficiencies/ appeal by institutes	1 st Nov. - 15 th Nov.
7	Re-Inspection of the institute for verification of deficiencies.	16 th Nov. - 30 th Nov.
8	Submission of Report of re-inspection	1 st Dec. - 7 th Dec.
9	Compilation of reports of Inspection committee.	8 th Dec - 20 th Dec.
10	Submission of final Inspection report before State Government.	21 st Dec. – 30 th Dec.
11	Finalization of recommendations for NOC in stage-3	1 st Jan. - 07 th Jan. (next year)
12	Placement of Inspection report and copy of uploaded report to AICTE/UGC web portal before Joint Secretary to Government.	As per dates prescribed at web portal of AICTE.
13	Decision on application for NOC for current year.	At least 7 days in advance to the last date as per dates prescribed at web portal of AICTE.
14	Decision on application for NOC for type O4 and F5 (if State Government NOC is not a prerequisite on AICTE/UGC web portal)	8 th Jan. - 15 th Jan. (next year)

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15. Mandatory eligibility criterions/ Norms for issuance of NOC:

Following mandatory eligibility criterions/ Norms will be followed for issuance of NOC by state government.

- (i) The Institution/society seeking NOC from AICTE/UGC must first apply to the state Government for NOC of state Government.
- (ii) The applicant Institution/society must fulfill all the minimum eligibility criteria as laid down by the AICTE/UGC in their approval handbook as amended time to time for maintaining minimum standards for technical education.
- (iii) The Institute/Society must fulfil all clauses of the mandatory regulation for accreditation "All India Council for Technical Education (Mandatory Accreditation of all programs / courses in Technical Education Institutions, University Departments and Institutions Deemed to be Universities imparting Technical Education). Regulations, 2014".
- (iv) The Institution/society must fulfill all the minimum eligibility criteria as laid down by the AICTE/UGC in their regulations for pay scales, service conditions, etc as amended time to time for maintaining minimum standards for technical education.
- (v) The applicant institution/society must have affiliation with the State/ UGC approved Universities/ Boards of the State of Rajasthan like RTU/BTER or Other State Universities.
- (vi) At the time of application for NOC (for established institutions only), the institution must have proper approval in all respect from the national level statutory bodies like AICTE / UGC/ Council of Architecture etc.
- (vii) All the rules and regulations/provisions/policies laid down by the State Government related to admission, examination and Fee Regulatory Committee from time to time, if applicable, will be binding for the Institution/University.
- (viii) The Institute/Society must comply directions of AICTE/ Government of India / State Government for transfer of students from one institute to other institute for various reasons such as withdrawal of NOC/ affiliation.
- (ix) The Institute/Society must comply directions of Government of India / State Government for putting institute/Society related information in public domain [Such as data for AISHE portal, know your college, ,RUSA, Rajasthan Higher and Technical education portal , Self disclosure formats recommended by Chief Ministers Advisory Council (CMAC), GIS based information , etc].
- (x) The Institute/Society must comply directions of Government of India /

State Government pertaining to security/health/ welfare issues of the students, faculty and employees of the institute.

- (xi) The Institute/Society must comply Government of India / State Government policies (if applicable) including education policy for higher education. Issues of the students, faculty and employees of the institute.
- (xii) The Institute/Society must comply Government of India / State Government directions pertaining to rating and ranking of the institutes.
- (xiii) The Institute/Society must comply directions of Government of India / State Government pertaining to rating and ranking of the institutes.
- (xiv) Institute/society will provide Aadhaar Number of the faculties and employees and statement of salary credited in their bank account.
- (xv) Institute/society will provide record of court cases filed/admitted against the Institution/Society matters related to welfare of the students/faculty/employees of the Institute/Society.
- (xvi) Institute/society will provide copy of complaints lodged against the institution with various Judiciary Bodies like Human Rights Commission, Consumer Forum etc. and their seriousness.
- (xvii) A fresh NOC/extension for approval will be required every year, in case of foreign collaborations.
- (xviii) A fresh certificate for "Permission to Proceed" will be required every year in case of new establishments.
- (xix) The State Government will not provide any financial assistance in any form to the Institution/society on account of granting NOC.
- (xx) The society/trust/institute will fully abide by the fact that under any circumstances (multiple project proposers for similar kind of project or otherwise), decision for project proponent (i.e one whose project is most advantageous and unique) made by state govt. will remain final.
- (xxi) The society/trust/institute will fully abide by the fact that any concession on account of technical institute by State Government will only be provided by concerned department and will not be a binding for NOC by Department of Technical Education, Government of Rajasthan.
- (xxii) All disputes pertaining to the issue of NOC for Technical Institutions/Society shall fall within the legal jurisdiction of Hon'ble High Court of Rajasthan only.

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16. Eligibility criteria/Norms (to be fulfilled within a stipulated time) for issuance of NOC:

Sr. No.	Mission	Task	Time frame
1	Biometric attendance	For staff For students	By 30.07.2016 By 30.10.2016
2	Solar Campus	Solar Capacity of minimum 100 watts per year	By 14 th Aug. Of every year.
3	Wi-fi campus/High speed Internet connection	Institute will create a Wi-fi campus along with High speed Internet connections in Laboratories.	By 30.10.2016
4	National Knowledge Network (NKN)	Institutes will connect to the NKN.	By 30.10.2016.
5	Green Campus	50 trees to be sown every year in the campus other than existing trees	By 14 th Aug. of every year.
6	Promotion of blood donation .	One blood donation camp (voluntary)	By 14 th Aug. of every year.
7	Cleanliness drive	Cleanliness camps at public places.	5 camps by 14 th Aug. of every year.
8	Education	Students should Literate one person.	100 persons per year- By 14 th Aug every year
9	Website creation	Institute will launch its website (if not available) and regularly update.	By 30.07.2016.
10	E-journals in the library	Institute will made available at least five different E-journals in the library	By 30.10.2016.
11	Result	Institute will try to achieve at least 50 %	By end of academic

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		overall result.	session.
12	Placement	Institute will try to achieve at least 50 % overall Placement. (Average package should not be less than 1.8 lacs/annum for Polytechnic students and 3.6 lacs/annum for Engineering students)	By 14 th Aug. of every year.
13	Faculty development programs	<ul style="list-style-type: none"> • Institutes will send minimum 15 faculty members every year for One week STC programs in NITs/ IITs. • Institutes will send minimum 10 faculty members every year National/International Conferences. In NITs/ IITs. 	By 14 th Aug. of every year.
14	Student Development programs	<ul style="list-style-type: none"> • Institutes will conduct at 10 expert lectures from Industries for final year students. • Institutes will conduct at least one industrial tour of reputed company for final year students. 	By 14 th Aug. of every year.
15	Research & Innovation	<ul style="list-style-type: none"> • Institutes will mobilize students/faculty for one innovative project of national standard. • Institutes will mobilize students/faculty for publication of 4/8 SCI indexed International Journals papers every year. 	By 14 th Aug. of every year.
16	Sports, Cultural	Institutes will organize one mega sports,	By 14 th Aug. of every

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	activities	cultural, NCC, NSS event in the institute.	year.
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17. Actions in case of violations of Policy:

Following actions will be taken by State Government/in case violation of NOC policy.

- (i) In case an applicant Society/Institution violates mandatory eligibility criterions/norms for issuance of NOC by State Government under this policy, the State Govt will not issue NOC to AICTE/ UGC, stating reasons for objection. However, in case an applicant Society/Institution violates eligibility criterions/ norms (to be fulfilled within a stipulated time) for issuance of NOC by State Government under this policy, the State Govt will give one more chance to institute/society to fulfil the deficiencies by next year's physical inspection , failing of which in second chance, the State Govt will not issue NOC to AICTE/ UGC stating reasons for objection.
- (ii) In case state government observes violation of norms under this policy by the institute/society, causing great harm to students/human being, the State government will impose heavy financial penalty (at least five times the processing fees charged by the AICTE/UGC in the current year for the purpose of concerned NOC) along with recommendation for not granting NOC to AICTE/ UGC, stating reasons for objection. Depending upon the seriousness of the case, the state govt. may also impose heavy financial penalty as deemed fit to it and may also recommend to withdraw its NOC issued by the state Government with immediate effect after suggesting/making suitable arrangements for existing students in the institute.
- (iii) In case State Government observes that institute / society conducted academic activities in the institute without taking proper NOC from AICTE/State Government/Affiliating University/Affiliating Board, the State Govt will impose heavy financial penalty (at least five times the processing fees charged by the AICTE/UGC in the current year for the purpose of concerned NOC). However, a NOC may be granted by State Government, provided institute/society fulfil all the norms for NOC under this policy in the current year.

18. Web Portal for NOCs to technical Institutes.

In due course of time DTE shall develop a web portal for matter related to NOCs by state Government. DTE will also made available different formats and application form related to NOC policy as per the different clauses under this policy.

19. Rights of State Government

- (i) **Revision:** State Government may either suo-moto or on an application received from any person aggrieved by the orders of the Department regarding NOC may call for and examine the records in respect of any proceedings of NOC to satisfy himself about its regularity, legality or propriety. If, in any case, it appears to the Government that any such proceedings should be revised, modified, annulled or reversed or remitted for reconsideration, it may pass orders accordingly or remand the case with any direction so as to rectify any violation of the policy or discrepancy. Such orders shall be implemented by Joint Secretary to Government, Technical Education.
- (ii) **Serious Complaint:** State Government may pass such orders or penalize financially Institute/ Society, whom it has received serious complaint after preliminary enquiry.

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Government shall pass a detail order in such cases giving the reasons in detail.

(iii) False information, violation of rules.

Anybody who has submitted false information, certificates and the officers who have countersigned such false information, shall be liable for strict action.

(iv) Procedure and calendar for NOC for the year 2016-17.

For all types of NOCs (A1, A2 and A3), State Government will issue appropriate orders for NOCs for the academic year 2016-17.

(v) Amendment: State Government **may** amend this policy at any instant of time in the interest of the Technical Education in the State.

.....**End**.....

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Annexure-1

Application form for NOC by State Government.

- 1. Name of the Society/Trust:**
- 2. Name of the Institute with Postal and email Address :**
- 3. Name of Contact Person with Landline and Mobile number:**
- 4. Type of NOC /view of State Government, seeking for:**
- 5. Date of applying for NOC under the NOC policy of the State Government :**
- 6. Details of processing fee:**
- 7. Number of formats attached:**
- 8. Any other Information sought by DTE.**

It is to certify that that:

- The society/trust/institute fully agrees to abide by the Rules of the NOC policy of State Government of Rajasthan.
- All formats are attached with this application and submitted for processing of NOC.
- The Project proposer (s) is technically and financially competent to handle the project implementation for which the proposal has been submitted.
- That the above statements are true to the best of my knowledge and belief.

(Note:- Strike out if not applicable)

Dated:

[Signature, Name and Designation of
Authorized Representative of
Society/trust/ institute]

Annexure-2**Guidelines for establishing new institutions including off campus institutes in the state.**

Following guidelines will be followed while considering the case of establishing new institution

1. The society/institute will make presentation on following points to deptt. of Technical Education Govt. of Rajasthan:-

- Need and unique features of the of the proposed institute at the given place mentioning details of existing technical institute in entire zone, statistics of admissions and placements in such institutions in last five years.
- Affidavit from the society/institute to get NBA Accreditation with grade "A" or equivalent within 6 years or passing out of two batches whichever is earlier.
- AICTE/UGC/NSQF recognized courses/Programmes (as applicable)
- Plan and assurance for Latest Curriculum adoption mechanism.
- Plan assurance for Placements of students in Industries.
- Dedicated advanced research labs.
- Plan assurance for state of the art ICT infrastructure.
- Plan assurance for Massive Open Online courses (MOOCs) .
- Plan and assurance for Employability and entrepreneurship.
- Plan and assurance faculty norms for qualifications and pay scales are not lesser than that as prescribed by AICTE/UGC.
- Plan and assurance for industry association such as NASSCOM, FICCI, ASSOCHAM, CII etc.
- Plan and assurance for digital Library (E-books and e-Journals) .
- Plan and assurance for Dedicated Industry Linkages, On Job- training, Apprenticeship and advanced research actives throughout the duration of the course.
- Any other activity suggested by Department of Tech. Edu. Govt.of Raj.

2. If Department of Tech. Edu. Govt.of Raj. observes merit in the proposal (with written assurance by societies to fulfill activities prescribed in point no. 1) , it will be forwarded to competent level for establishing such institute. Department of Tech. Edu. Govt.of Raj. will also record figure of merits in detail while forwarding this case to competent level.

3. After getting approval from the competent level, Department of Tech. Edu. Govt.of Raj. will issue a certificate "Permission to proceed further".

4. Society /Institution will not be entitled for NOC, only on the basis of Certificate for "Permission to proceed further".

5. A due procedure for granting NOC by State Government will be followed at the time for applying NOC from AICTE/UGC along with terms of establishing the project.

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Annexure-3

Guidelines for Collaboration with Foreign Universities.

Following guidelines will be followed for collaboration with Foreign Universities.

1. **Eligible state institutions for collaboration with foreign universities/institutions:** All State and private universities, board of technical education and their affiliating institutions intends to collaborate foreign Universities for technical programs.
2. **Eligible foreign institutions:** All foreign Universities/Institutions having latest rank within top 500 Universities/institutions. Rank of the foreign university/institution will be determined on the basis of latest Times Higher Education world universities ranking.
3. **Areas of Collaborations:** All areas of mutual interest, as prescribed in prevalent AICTE/ UGC regulations and beneficial to students of state institutions. However, eligible state institutions will preferably collaborate with foreign universities having their areas of specialization which can enhance the skill and employability aspects of the students. State will also prefer to collaborate in joint projects involving Research and Development (R&D) for the benefit of the state. For this purpose, eligible state institutions will submit a prospective plan along with the annexures to Director, TE.
4. **AICTE/ UGC norms for foreign Collaborations:** Eligible State and foreign universities/institutions are required to fulfill all norms and conditions of regulations of prevalent AICTE/UGC regulation for collaboration with foreign Universities/ Institutions.
5. While collaborating with foreign universities/institutions academic benefits, security and safety of Indian students will remain on top priority.
6. All eligible state institutions will have to obtain a no objection certificate (NOC) from State Government before entering into MoU with foreign universities /institutions.
7. Foreign universities/Institutions as well a state institutions will follow all relevant regulations/guideline/Instructions of Government of India/state Government as amended time to time.
8. All eligible state institutions will have to submit a certificate as per **Annexure-4** along with Application of NOC from Sate Government.

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Annexure -4

Certificate provided by eligible state and foreign universities/Institutions before entering into MoU.

It is hereby certified that:

- (a) We have received authorization letter from concerned university for signing MoU.
- (b) We fully comply the relevant rules and regulations in the MoU as prescribed in NOC policy.
- (c) The terms and conditions of MoU are in consistent with regulation of AICTE/ UGC for collaboration with foreign universities.
- (d) We are satisfied by the credentials of the respective universities /institutions.
- (e) We are satisfied with the safety and security aspects of the students/faculty involved in MoU.
- (f) We have following arrangements for MoU:

Component	Eligible state institute (Govt./Private/PPP)		Eligible foreign institute (Govt./Private/PPP)	
	Contribution of eligible State institute.	Expected contribution from state Government.	Contribution of eligible Foreign institute	Expected contribution from state Government.
Land				
Building/Construction				
Manpower				
Areas of collaboration				
1.				
2.				
Others				

- (g) We will follow all rules and regulations /notifications/orders/instructions issued by Government of India/state government as amended time to time.
- (h) That the above statements are true to the best of our knowledge and belief.

Date:

(Signature, Name and Designation of Authorised Representatives of eligible foreign and state institutes)

Note: 1. Strike out if not applicable
 2. Attach a prospective plan /feasible study to enhance the skill and employability of the student of the state institute.

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B1- Directions for Director (TE)

Following directions are proposed to be issued as regard to NOC policy to Director (TE)

1. Application form and Formats :

Application form and different formats as prescribed in the NOC policy along with necessary instructions will be made available in advance at the Directorate website (or web portal for processing of NOC matters) after due approval from State Government.

2. Processing Fee :

Directorate of Technical Education will maintain proper books of accounts of the receipts and expenditure with regard to NOC processes. Savings from the processing fees will be utilized for development projects (Centre of Excellence, Skill Development Centres, Training Centres, ICT facility, Research facility, etc.) in technical education in the State with prior approval of the State Govt.

3. Physical Inspection

Director, TE will propose a four member inspection committee for the preliminary checking of the minimum eligibility criteria as laid down by the AICTE/UGC and state government for Technical Institutions for issuing NOC. Composition of the committee will be as follows.

Sr. No.	Designation	
1	One faculty from affiliating University.	Chairman
2	One Faculty from Govt. autonomous Engineering Colleges	Member
3	Two faculties from Department of Technical Education (Polytechnic Colleges)	Members

The proposed committee shall be approved by Hon'ble Minister, Technical Education.

Inspection committee will inspect the institute physically as per the schedule prescribed by Director, TE and submit its report accordingly. Director, TE will also issue necessary norms and instructions to inspection committee as per the provisions in the NOC policy.

4. To obtain preliminary report from the Inspection committee:

Based on the physical inspection of the Institute/society, Director, TE will obtain inspection committee's inspection report [as per format 3(a), to be provided by DTE] within 10 days from the inspection. The Inspection committee shall sign all the given formats after marking "Fulfilled", "Unfulfilled" and "NA" (Not applicable) against various clauses in the formats. However, in the event of difference of opinion, these will be recorded separately. After signing the full report, inspection report will be submitted by inspection committee to Director, TE.

5. Appeal for Reconsideration of the proposal (first stage of issuing NOC)

Director, TE will communicate deficiencies to the institute reported by the inspection committee within 15 days from the inspection report received. Institute/society will be given 20 days' time to rectify the deficiencies. Based on deficiencies the institution can make an appeal with documentary evidence or compliance report to Director, TE to substantiate the claims made within 20 days from the receipt of deficiencies.

6. Inspection for Deficiencies (Second stage of issuing NOC)

In case of deficiencies one member will be nominated by Director, TE for inspection along with the Chairman of the inspection Committee. Director, TE will obtain final report from the Chairman of the inspection committee.

7. Submitting final report of inspection committee before the State Government.

Director, TE will submit the NOC report to the state government along with comments, after opetining the final report of the inspection committee

8. Issuance of NOC by the State Government (Fourth stage of issuing NOC).

- (i) Director, TE will obtain a copy of the documents/enclosures from the institute/Society that are uploaded by it to he AICTE/UGC web portal regarding NOC/view of State Government for the current year.
- (ii) Director, TE will compare the documents/enclosures of the current year to that uploaded in the preceding year to AICTE web portal for the purpose of NOC. After comparing these documents, Director TE, will record those clauses for NOC which are different in nature in two documents.
- (iii) Director, TE may also record such clauses for NOC which are different in nature in the approval hand books of preceding and current year.
- (iv) Director, TE will forward the case of NOC to Joint Secretary to Government, Technical Education as per **clause 13(i)** along with record as per **clause 13(ii)** and **13(iii)** of the NOC policy.

9. Calendar of events:

Director, TE will obtain and process NOC applications as per calendar of events as prescribed in the NOC Policy.

10. Web Portal for NOCs to technical Institutes.

In due course of time DTE shall develop a web portal for matter related to NOCs by state Government.

11. General

Director, TE will take all necessary steps to full fill objectives of NOC Policy for technical institutions in the State.

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B2- Directions for Registrar RTU, Kota

Following directions are proposed to be issued as regard to NOC policy to Registrar- RTU.

1. General

Registrar, RTU, Kota will take all necessary steps to full fill objectives of NOC Policy for technical institutions in the State.

2. Physical Inspection

RTU Kota will schedule its inspection of the institutes/society for affiliation purpose on similar dates as prescribed by Director, TE for the purpose of NOC to be issued by State Government.

C-Procedure and calendar for NOC cases for the year 2016-17.

For all types of NOCs (A1, A2 and A3) for the year 2016-17, following procedure and calendar of events will be followed:

Sr. No.	Activity for NOC type- A2 and A3	Time line	Remarks
1	Submission of copy of uploaded report to AICTE/UGC for concerned NOC/view of State Government to Joint Secretary to Government.	As per dates prescribed at web portal of AICTE for year 2016-17.	<ul style="list-style-type: none"> NOC/view of state Government will be given subject to condition of fulfilment of all norms as prescribed by AICTE/Affiliating University/Affiliating Board. State Government time to time. State government may conduct a physical inspection of the institute for prevalent norms for NOC and may verify information data submitted by it. In case of violations of norms state government can withdraw NOC/ view of state government. No, processing fees will be charged by the state government for NOC/ View of the state government to be forwarded to AICTE for academic year 2016-17.
2	Submission the final report of inspection committee to state government.	At least 7 days in advance from the last date as per dates prescribed at web portal of AICTE for year 2016-17..	
Sr. No.	Activity for NOC type- A1.	Time line	
1	Submission of duly signed Application form along with Detailed project Report (As per AICTE Norms of current session) to Joint Secretary to the Government.	At least 10 days in advance from the last date at AICTE web portal for year-2016-17.	
2	Presentation before a State level Committee constituted by Government..	Within 7 days from the submission of DPR.	
3	Decision on application for NOC for year 2016-17.	Within 3 days from the presentation.	